

EXPRESS MAIL LABEL NO.: TB632428434

**PATENT**

Attorney Docket No.: 36316.00007

Date: July 22, 1999

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: Elaine M. Tobin and Shoji Sugano

For: Regulation of Circadian Rhythms and Flowering

Enclosed are:

- 31 Pages of Specification
- 14 Sheets of drawing (     formal     X     informal)
- Declaration and Petition..     X     Will follow.
- Form PTO-1595 and an assignment of the invention to      Will follow.
- A certified copy of      from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119.      Will follow.
- An associate Power of Attorney
- X   A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- Information Disclosure Statement, Form PTO 1449 and      prior art reference(s).
- Preliminary Amendment.
- X   General Authorization/Request to Petition for extension of time.
- X   Postcard

FOR	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$380.00	OR		\$-0-
TOTAL CLAIMS	-9=	-0-	* 9	\$	OR	* 18	\$-0-
INDEP CLAIMS	-9=	-6-	* 39	\$234.00	OR	* 78	\$-0-
MULTIPLE			* + 130		OR	+ 260	\$
DEP CLAIMS PRESENTED			TOTAL =	\$614.00		TOTAL:	

- Please charge my Deposit Account No. 07-1853 the amount of \$         . A duplicate copy of this sheet is enclosed.
- X   A check in the amount of \$614.00 to cover the filing fee is enclosed.
- A check in the amount of \$          to cover the Assignment Recordal Fee is enclosed.
- X   The Commissioner is hereby authorized to charge payment of the following fees associate with this communication or credit any overpayment to Deposit Account No. 07-1853. A duplicate copy of this sheet is enclosed.
  - X   Any additional filing fees required under 37 CFR 1.16.
  - X   Any patent application processing fees under 37 CFR 1.17.
- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 07-1853. A duplicate copy of this sheet is enclosed.
  - Any patent application processing fees required under 37 CFR 1.17.
  - The issue fee set in 37CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.31(b).
  - Any fil.ng fees under 37 CFR 1.16 for presentation or extra claims.

Respectfully submitted,

Stefan J. Kirchanski  
Stefan J. K. Kirchanski  
Registration No. 36,568

GRAHAM & JAMES LLP  
801 S. Figueroa St., 14<sup>th</sup> Fl.  
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1c549 U.S. PTO  
09/359026  
07/22/99

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. 1.9(f) & 1.27(d)) - NONPROFIT ORGANIZATION

PATENT  
DOCKET NO: 36136.00007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Elaine Tobin, et al.

Serial No.:

Filed: July 22, 1999

Title: REGULATION OF CIRCADIAN RHYTHMS AND FLOWERING

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The Regents of the University Of California

ADDRESS OF ORGANIZATION: 1111 Franklin Street, 5<sup>th</sup> Floor, Oakland, CA 94607-5200

TYPE OF ORGANIZATION:

☒ University or Other Institution of Higher Education

☒ Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))

☐ Nonprofit Scientific or Educational Under Statute of State of The United States of America

(Name of State)

(Citation of Statute)

☐ Would Qualify As Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)), if Located in The United States of America

☐ Would Qualify As Nonprofit Scientific or Educational Under Statute of State of The United States of America, if Located in The United States of America

(Name of State)

(Citation of Statute)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization, as defined in 37 CFR 1.9(e), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the United States Patent and Trademark Office regarding the invention described in:

☒ the specification filed herewith with title as listed above.

☐ the application identified above.

I hereby declare that rights under contract or law have been conveyed to, and remain with, the nonprofit organization, regarding the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.8(c) if that person made the invention, or by a concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). Each person, concern or organization having any rights in the invention is listed below:

☒ No such person, concern or organization exists.

☐ Each such person, concern or organization is listed below.

Full Name:

Address:

☐ Individual

☐ Business Concern

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Linda S. Stevenson

TITLE IN ORGANIZATION: Principal Prosecution Analyst

ADDRESS OF PERSON SIGNING: 1111 Franklin Street, 5<sup>th</sup> Floor, Oakland, CA 94607-5200

SIGNATURE: Linda S. Stevenson Date: July 21, 1999

Express Mail: TB632428434US

PATENT

36316.00007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Elaine Tobin, et al.

Serial No. (New)

Filing Date: July 22, 1999

For: REGULATION OF CIRCADIAN RHYTHMS  
AND FLOWERING

Art Unit:

Examiner:



GENERAL AUTHORIZATION/REQUEST TO PETITION FOR EXTENSIONS OF TIME

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicants hereby authorize and request the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. §1.17, or credit any overpayment of same, to Deposit Account No. 07-1853. A duplicate copy of this general authorization is enclosed.

Respectfully submitted,

Date: July 22, 1999

  
Stefan J. Kirchanski  
Attorney for Applicants  
Registration No. 36,568

**GRAHAM & JAMES LLP**  
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PATENT

Docket No.: 36316.00007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Elaine Tobin, et al.  
For: REGULATION OF CIRCADIAN RHYTHMS AND FLOWERING

CERTIFICATE OF MAILING

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

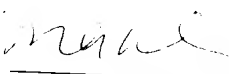
"EXPRESS MAIL" Mailing Label No.: TB632428434US

Date of Deposit: July 22, 1999

I hereby certify that an application for patent, including:

One-page cover sheet; 31 pages of Specification (which includes 9 claims and a one-page Abstract); 14 Sheets of informal Drawings; an executed copy of a Small Entity Status Form, a General Authorization/Request to Petition for Extensions of Time; a check in the amount of \$634.00 to cover the filing fee; a Transmittal Letter (Form PTO 1082); and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: July 22, 1999

  
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MARCO QUIROA